

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- ~~BLACK OR VERY BLACK AND WHITE DARK PHOTOS~~
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



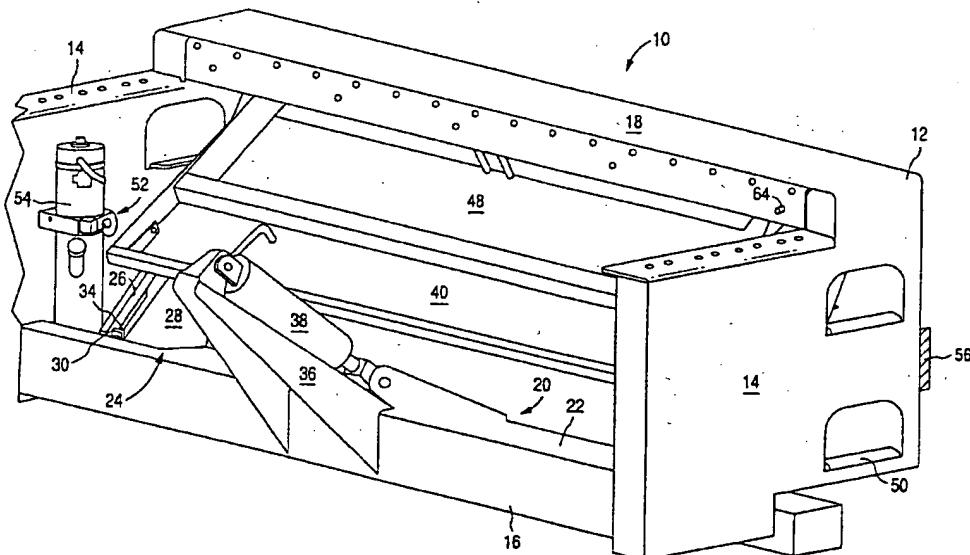
(43) International Publication Date  
26 September 2002 (26.09.2002)

PCT

(10) International Publication Number  
WO 02/074580 A1

- (51) International Patent Classification<sup>7</sup>: B60P 1/44 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (21) International Application Number: PCT/US02/06825
- (22) International Filing Date: 6 March 2002 (06.03.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/811,237 15 March 2001 (15.03.2001). US
- (71) Applicant: MAXON LIFT CORPORATION [US/US];  
11921 Slauson Avenue, Santa Fe Springs, CA 90670-2221  
(US).
- (72) Inventors: ABLABUTYAN, Karapet; 1349 Raymond Avenue, Glendale, CA 91201 (US). BARK, Paul; 22610 Castle Crag Drive, Canyon Lake, CA 92587-7920 (US).
- (74) Agent: MEYER, David, J.; Manatt Phelps & Phillips, LLP, 11355 West Olympic Blvd., Los Angeles, CA 90064 (US).
- (84) Designated States (*regional*): AR IPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: UNITARY LIFTGATE



WO 02/074580 A1

(57) Abstract: The invention is a unitary liftgate (10) that attaches to the vehicle body (46) instead of the vehicle chassis. The liftgate may be fully assembled and tested prior to installation on the vehicle body. This greatly reduces installation time while improving performance and reliability. Various features such as steps (50), bumpers (56), and brackets (52, 58) are integrated into the liftgate. Further, the liftgate is preferably configured so that multiple units can be stacked together for shipping and ease of loading and unloading. The stackability of the liftgates simplifies storing as well, by removing the necessity of storing several components separately.

## UNITARY LIFTGATE

### BACKGROUND OF THE INVENTION

#### Field of the Invention

5        The present invention relates to liftgates used to bring loads to and from ground level and the level of a vehicle's payload area.

#### Description of the Related Art

Liftgates are typically mounted at the rear of trucks, truck trailers and the like to bring payloads from ground level up to the bed of the truck or from the bed to the ground. In some applications, it is desirable to configure the liftgate so that it folds away when not in use. Examples of such designs are the cantilever Tuk-A-Way® liftgates available from Maxon (Santa Fe Springs, CA), assignee of the current invention. Typically, these liftgates employ parallelogram linkages to maintain the liftgate in a horizontal plane through the lifting range. The liftgate is attached to the parallelogram linkages by pivot members, which allow the liftgate to be placed in a generally vertical position when in the lowered position. When in the vertical position, operation of the lifting mechanism rotates the liftgate into an inverted, stowed position beneath the vehicle body.

20      Prior art Tuk type cantilever liftgates present various challenges having to do with their shipping and installation. All prior art Tuk type cantilever liftgates mount to the vehicle chassis. Thus, these liftgates are susceptible to misalignment with the vehicle body. When misaligned, operation of the liftgate can exert differential force on the vehicle body and truck body, tending to separate them. Further, typical cantilever liftgates, when shipped from the factory, are partially disassembled and have awkward shapes, requiring substantial packaging. Further, proper installation necessitates that several of the components must be precisely aligned and then securely mounted to the vehicle. Moreover, the liftgate must be fitted to the vehicle only after the truck body has been attached to the chassis.

25      Consequently, proper installation of prior art liftgates involve significant time and expense.

Accordingly, what has been needed is a Tuk type cantilever liftgate design configured for easy shipping that simplifies installation while improving overall strength and reliability. This invention satisfies these and other needs.

5    **SUMMARY OF THE INVENTION**

The system of the invention is a pre-assembled, unitary Tuk type cantilever liftgate comprising an opposing pair of side plates, an extension plate extending between the side plates, a hydraulically driven lift frame pivotally attached to the side plates and a liftgate platform rotatably attached to the lift frame wherein the 10 liftgate is configured to be secured only to a vehicle body. In preferred embodiments, the side plates and extension plate are configured so that liftgate system can be attached to the vehicle body by bolts, welding or the like. The side plates may further comprise steps, brackets and bumpers. Also preferably, the lift frame may comprise a lift frame tube configured to function as an underride guard. 15 The unitary liftgate may also be configured to allow multiple units to be stacked together to facilitate shipping and storage.

The invention also comprises the unitary liftgate system secured to a vehicle body. This lift gate may be fully assembled and tested prior to being secured to the vehicle body. Further, since the liftgate is secured to the vehicle body, it can 20 be attached prior to the body being secured to the vehicle chassis. The features of the invention allow the liftgate to be installed in significantly less time than prior art cantilever liftgates.

**BRIEF DESCRIPTION OF THE DRAWINGS**

25    The aforementioned advantages of the invention, as well as additional advantages thereof, will be more fully understood in light of the detailed description of the invention below when taken in conjunction with the accompanying drawings in which:

30    FIG. 1 is an elevational view of the inventive liftgate shown with the liftgate platform in the stowed position;

FIG. 2 is an elevational view of the liftgate platform lowered to the vertical position;

FIG. 3 is a side view of the liftgate platform in the lowered position with the liftgate platform extended;

FIG. 4 is an elevational view of the liftgate platform in the raised position with the liftgate platform extended;

5 FIG. 5 is a side view of the liftgate in the raised position with the liftgate platform extended;

FIG. 6 is an elevational view showing the liftgate platform secured to a portion of the vehicle body.

10 FIG. 7 is an elevational view showing a plurality of inventive unitary, fully assembled liftgates stacked together to facilitate shipping, for example.

#### DETAILED DESCRIPTION OF THE INVENTION

Figures 1-7 show the cantilever liftgate system 10 of the invention. The system comprises a framework 12 having side plates 14, a trunnion tube 16 extending between side plates 14 and an extension plate 18, also extending between side plates 14. The structural members of liftgate 10 are preferably formed from steel, but other metals or composite materials can be suitable depending upon the intended application. The liftgate also comprises a lift frame 20 with a lift frame tube 22 extending between an opposing pair of parallelogram linkages 24 each having upper arms 26 and lower arms 28 with proximal pivot members 30 and distal pivot members 32. Proximal pivot members 30 are attached to trunnion tube 16 thus securing lift frame 20 to framework 12. Preferably, trunnion tube 16 comprises integral mounts 34 for attaching proximal pivot members 30. Trunnion tube 16 also comprises cylinder tower 36. Hydraulic cylinder 38 is pivotally attached at either end to tower 36 and lift frame tube 22 to drive lift frame 20. Distal pivot members 32 are secured to liftgate platform 40 so that, together with proximal pivot members 30, upper and lower arms 26 and 28 form parallelogram linkages 24. The linkages 24 are configured to maintain the orientation of distal pivot members 32 to proximal pivot members 30 as upper arms 26 and lower arms 28 are raised and lowered. Retraction of hydraulic cylinder 38 drives lift frame tube 22, rotating upper arms 26 and lower arms 28

about proximal pivot members 30 to liftgate platform 40. Conversely, extension of hydraulic cylinder 38 raises liftgate platform 40.

Liftgate platform 40 is configured to be raised into either the load lifting position shown in Figs. 4 and 5 or the stowed position shown in Figs. 1 and 6.

5 Specifically, liftgate platform 40 is rotatably attached to distal pivot members 32 with motion limiting stops 44 that prevent rotation of liftgate platform 40 away from upper and lower arms 26 and 28 beyond the horizontal plane. This keeps liftgate platform 40 in a plane parallel with the ground and vehicle body 46 to facilitate loading and unloading. Alternatively, liftgate platform 40 may be rotated 10 at distal pivot members 32 toward upper and lower arms 26 and 28 to the generally vertical position shown in Fig. 2. In this position, actuation of hydraulic cylinder 38 raises and inverts liftgate platform 40 until it is located in its stowed position shown in Figs. 1 and 6. As used herein, the term cantilever refers to liftgates having a platform supported at one end only. For example, platform 40 is 15 supported by the pivotal attachment to distal pivot members 32.

In a preferred embodiment, liftgate platform 40 is equipped with a liftgate platform extension 48 attached by hinge 50, creating a bifold configuration. Hinge 50 allows the liftgate platform extension to fold against liftgate platform 40 in the direction of the system 10, but prevents liftgate platform extension 48 from 20 rotating beyond the plane of liftgate platform 40, thus providing a suitable lifting platform. Similarly, when liftgate platform 40 is placed into the vertical position shown in Fig. 2, liftgate platform extension 48 folds back against liftgate platform 40, providing a more compact profile for storage. In other embodiments, one or 25 more additional platform extensions may be provided creating a trifold configuration, for example.

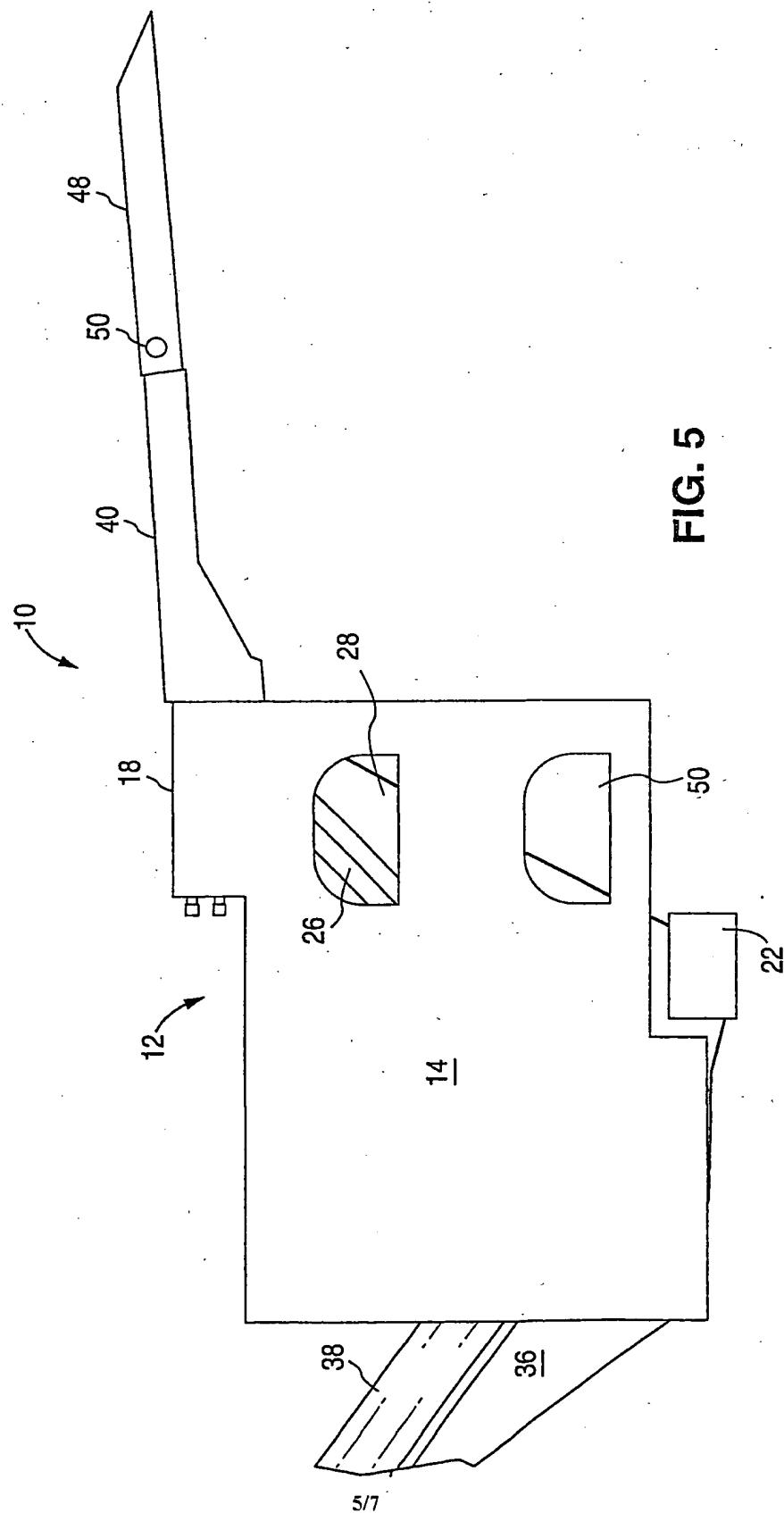
Framework 12 preferably comprises a variety of additional features to simplify manufacture and to increase the utility of the liftgate system 10. For example, side plates 14 may comprise formed steps 50, pump bracket 52 for mounting pump 54 to power hydraulic cylinder 38, dock bumpers 56 and vehicle 30 light brackets 58. Generally, these features are integral with the framework 12 but they can also be separate members that are suitably attached to the frame. Also

preferably, lift frame tube 22 is configured to function as an underride guard to minimize injury in the event of a rear end collision. Integrating underride protection into the existing liftgate system removes the need for an additional, dedicated horizontal member.

5       Figure 6 shows framework 12 secured to vehicle body 46. Generally, extension plate 18 is secured to the rear lower horizontal frame member 60 of the vehicle and side plates 14 are secured to the rear lower horizontal frame member 60 and sub-structure cross members 62. Preferably, framework 12 is secured to the vehicle by bolts 64, but welding or other conventional means of attachment  
10      are also suitable. Since all the liftgate components are attached to the framework 12, only the framework 12 needs to be attached to the vehicle and no alignment or adjustment of the lifting mechanism will be necessary. Further, the unitary construction of the liftgate allows it to be attached to a wide variety of truck types, since only the framework 12 need be secured. Typically, the only  
15      adjustment necessary is to level the extension plate 18 with the truck bed 66. This is easily accomplished by the use of shims 68 between side plates 14 and cross members 62. In short, this invention allows the integrated liftgate system  
10      to be fully pre-assembled requiring only final bolt-on attachment to a vehicle body with little or no adjustment.

20       As an alternative embodiment framework 12 can be preattached to lower horizontal frame member 60 at the factory and shipped as a unitary lift assembly. In such an instance the framework 12, including lower horizontal frame member 60, would be attached on site to the rear of the vehicle by attaching sub-structure cross members 62.

25       The integrated, unitary and compact nature of the invention also facilitates shipping, packaging and storing. Framework 12 with the liftgate platform 40 in the stowed position presents a compact, box-like profile that may be stored or shipped with virtually no packaging. Further, multiple systems may be stacked and bolted together for shipment, avoiding or minimizing the need to use pallets,  
30      banding material, or other packaging means. For example, as shown in Figure 7, the bottom profile of the side plates 14 is configured to nest with the top profile of



side plates 14 and extension plate 18. This allows a stack of multiple liftgate units to be very stable and allows them to be easily secured together, by bolts or other suitable means.

Providing a unitary cantilever liftgate that attaches to the vehicle body rather than the vehicle chassis offers numerous benefits. First, the liftgate may be completely assembled and fully tested at the factory prior to being delivered for installment. This greatly improves quality control and simplifies installation. The liftgate need only be secured to the vehicle body by welding, bolting or other means, dramatically reducing the installation time required. Where prior art cantilever liftgates typically require 6 to 8 hours to install, the unitary liftgates of the invention can be installed in about 1 hour. Further, since the liftgates of the invention secure to the vehicle body, the lift platform will always be in alignment with the body. Prior art liftgate designs, since they attach to the vehicle chassis, have lift platforms that may become misaligned with the vehicle body. Indeed, when the misalignment becomes severe, operation of the liftgate can exert a differential force on the vehicle body, causing it to separate from the vehicle chassis. In contrast, the inventive liftgates completely avoid such problems.

Described herein is a preferred embodiment, however, one skilled in the art that pertains to the present invention will understand that there are equivalent alternative embodiments.

WHAT IS CLAIMED IS:

1. A cantilever liftgate comprising an opposing pair of side plates, an extension plate extending between the side plates, a hydraulically driven lift frame pivotally attached to the side plates and a liftgate platform rotatably attached to the lift frame wherein the liftgate is configured to be secured only to a vehicle body.  
5
2. The liftgate of claim 1, wherein the liftgate is fully assembled prior to being secured to the vehicle body.  
10
3. The liftgate of claim 1, wherein the liftgate is configured to be secured to the vehicle body by bolts or welding.
- 15 4. The liftgate of claim 1, wherein the side plates further comprise formed steps.
5. The liftgate of claim 1, wherein the side plates further comprise a formed bracket for mounting a hydraulic pump.  
20
6. The liftgate of claim 1, wherein the side plates further comprise formed dock impact bumpers.
7. The liftgate of claim 1, wherein the side plates further comprise formed brackets for mounting vehicle lights.  
25
8. The liftgate of claim 1, wherein the lift frame comprises a lift frame tube configured to function as an underride guard.

9. The liftgate of claim 1, wherein the liftgate is configured to stack with a similar liftgate.

10. A cantilever liftgate secured to a vehicle body comprising an opposing pair of side plates, an extension plate extending between the side plates, a hydraulically driven lift frame pivotally attached to the side plates and a liftgate platform rotatably attached to the lift frame wherein the liftgate is secured to the vehicle body.

10 11. The liftgate of claim 10 wherein the liftgate is secured to the vehicle body by bolts.

12. The liftgate of claim 10 wherein the liftgate is secured to the vehicle body prior to the vehicle body being secured to a vehicle chassis.

15 13. The liftgate of claim 10, wherein the liftgate is fully assembled and tested prior to being secured to the vehicle body.

14. The liftgate of claim 10 wherein said liftgate further comprises a lower horizontal frame member which is attached to the vehicle body upon securing said liftgate to said vehicle body.

15. A pre-assembled unitary liftgate configured to be secured to a vehicle body comprising:

- 25 a) an opposing pair of side plates, a trunnion tube extending between the side plates and an extension plate extending between the side plates, wherein the side plates and the extension plate are configured to be secured to the vehicle body;
- b) a lift frame having an opposing pair of parallelogram linkages each having upper and lower arms and proximal and distal pivot members and a lift

frame tube extending between the lower arms, wherein the proximal pivot members are secured to the trunnion tube;

- c) a liftgate platform rotatably attached to the distal pivot members, having a stop configured to prevent rotation of the liftgate platform away from the upper and lower arms past a generally horizontal orientation parallel with the vehicle body and configured to allow rotation of the liftgate platform toward the upper and lower arms to a generally vertical position perpendicular with the vehicle body when in a lowered position;
- d) an hydraulic cylinder pivotally secured at one end to the trunnion tube and at the other end to the lift frame tube; wherein,
  - when the liftgate platform is rotated to the horizontal orientation extension of the hydraulic cylinder raises the liftgate platform from a lowered position to a raised position while maintaining the horizontal orientation and when the liftgate platform is rotated to the vertical position extension of the hydraulic cylinder raises and inverts the liftgate platform into a stowed position.

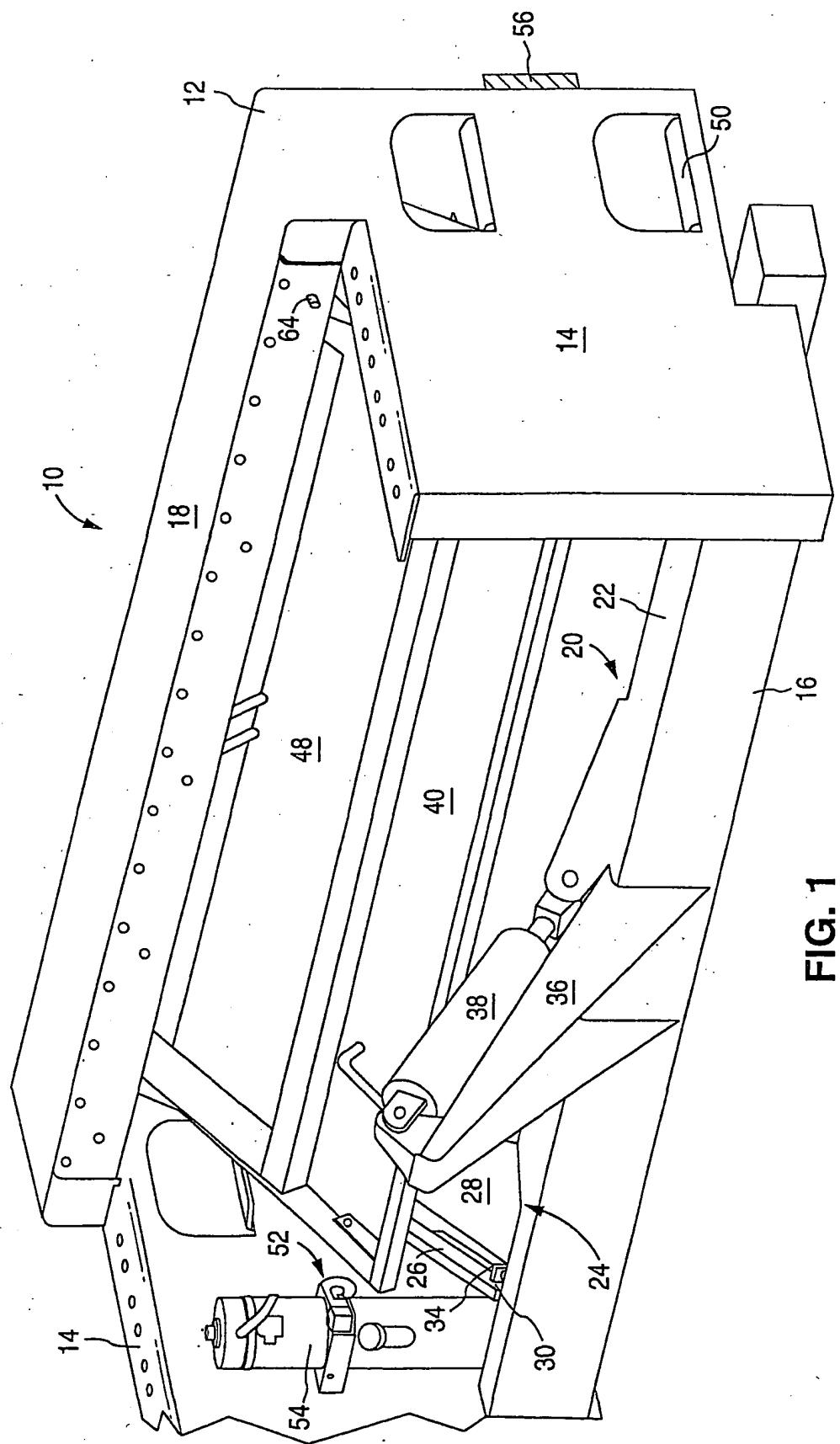
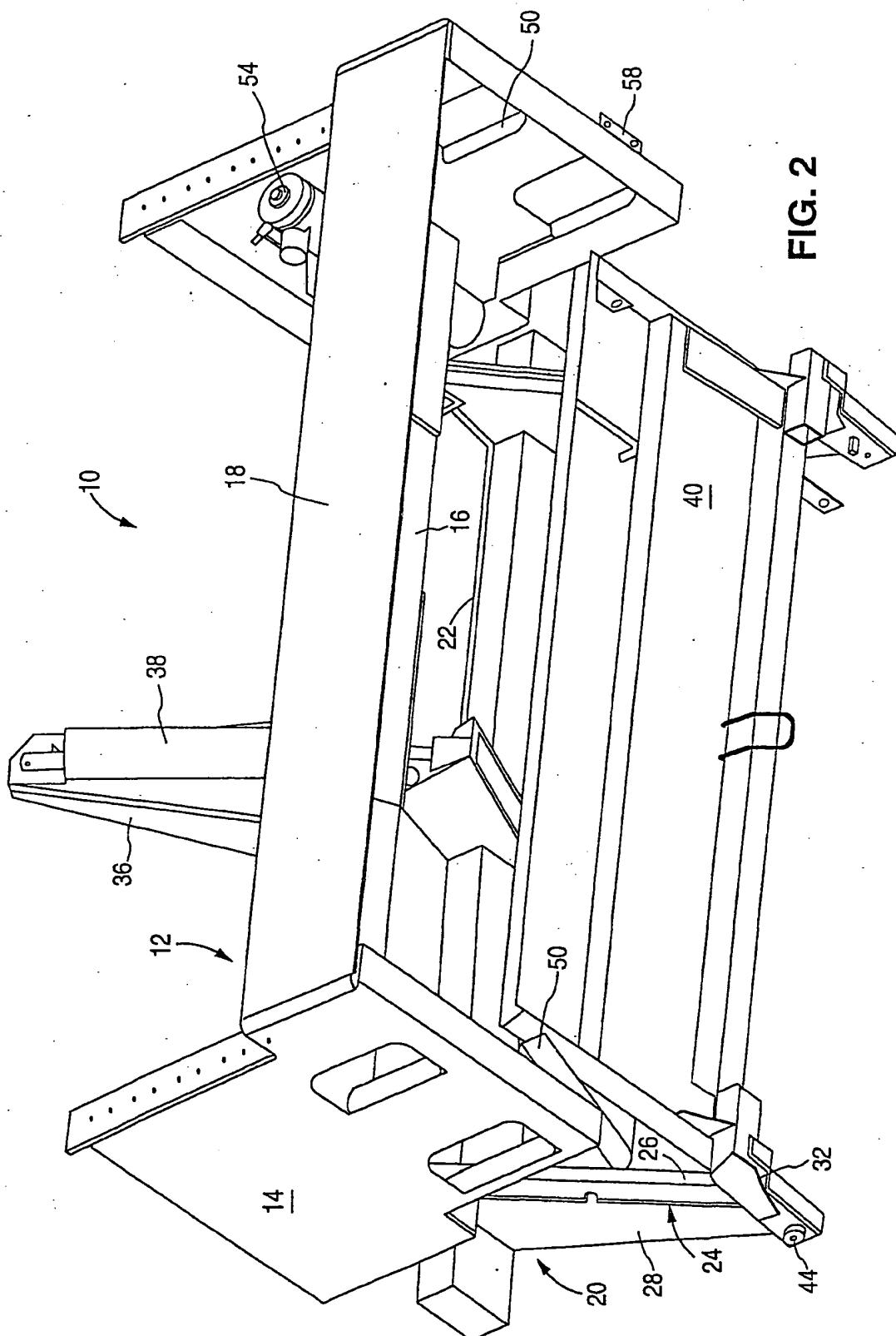


FIG. 1



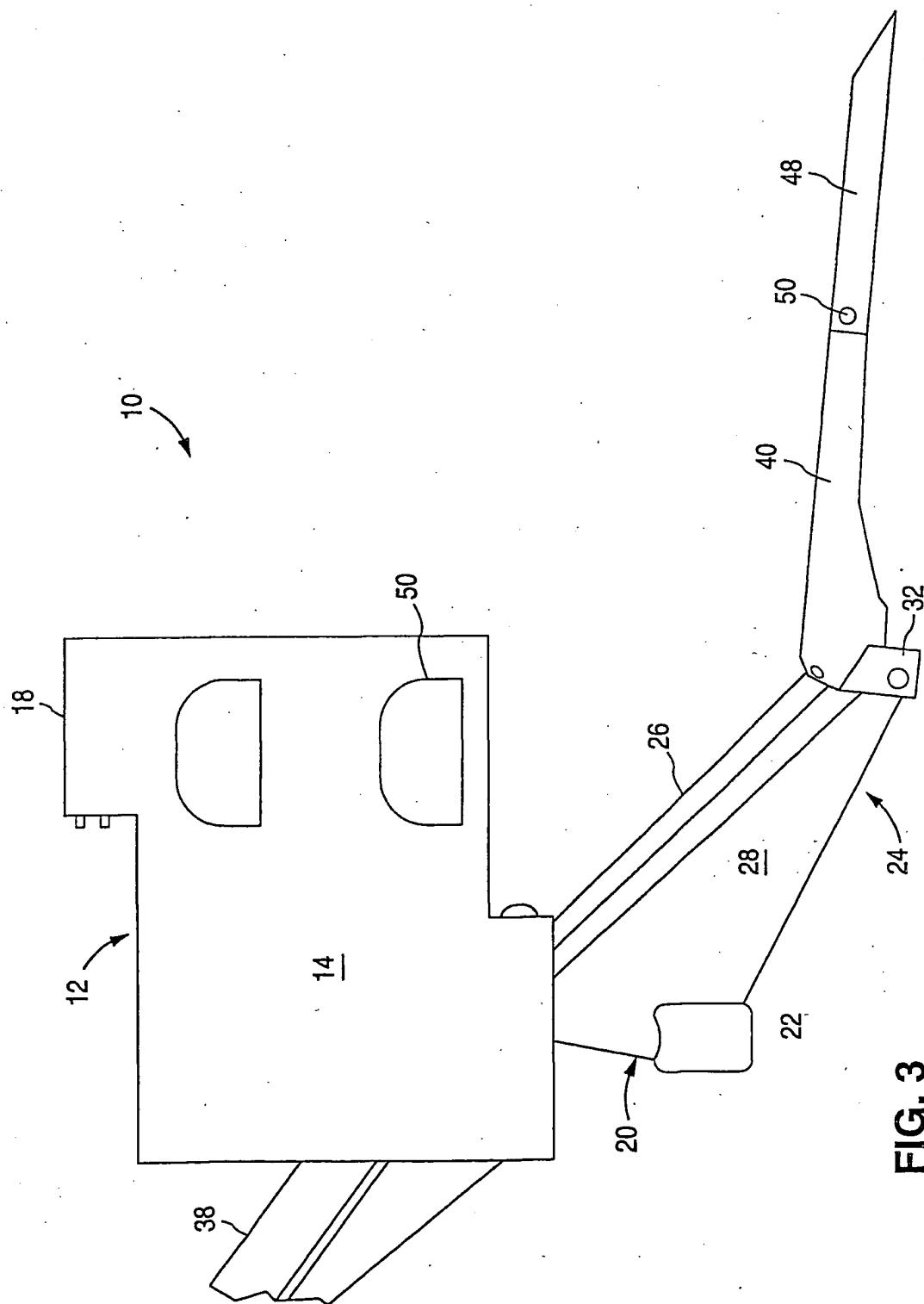


FIG. 3

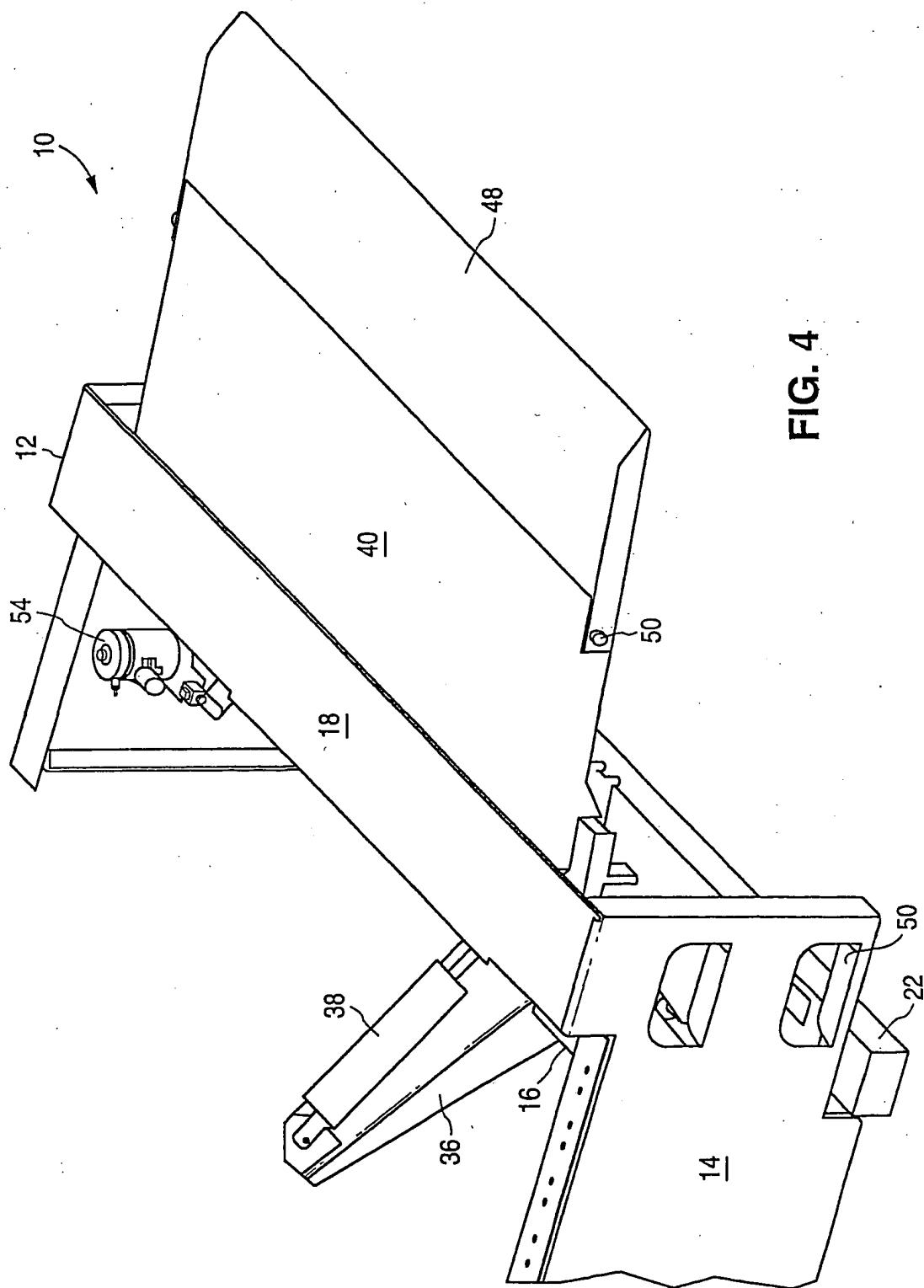
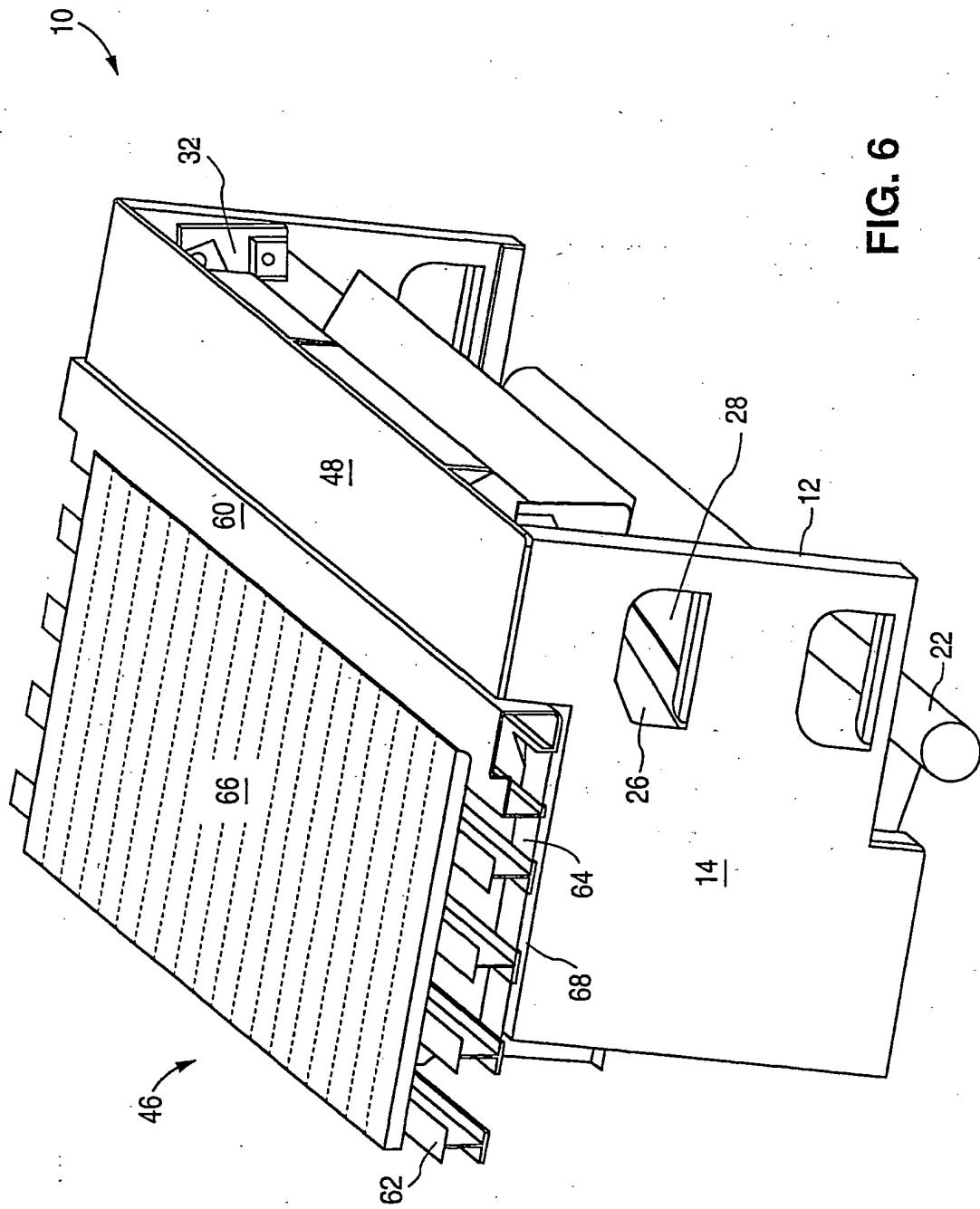
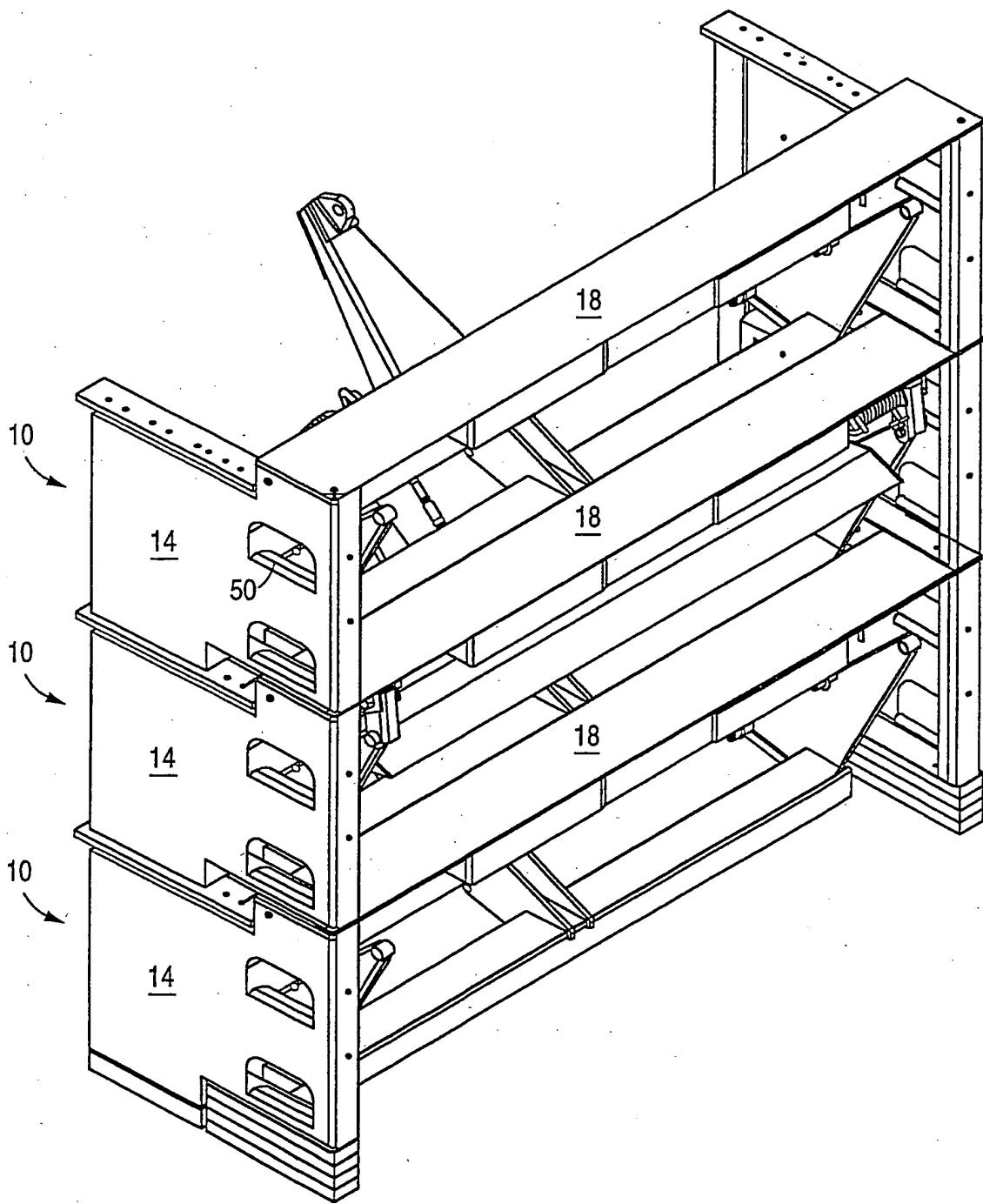


FIG. 4

**FIG. 6**

**FIG. 7**

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US02/06825

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :B60P 1/44

US CL : 414/557

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 414/545, 556, 557, 557, 558; 296/60, 62

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,641,262 A (DUNLOP et al) 24 June 1997, col. 2, line 22 to col. 3, line 12, col. 5, lines 24-29.	1-14
---		-----
Y		15
X	US 2,732,960 A (NILSON) 31 January 1956, col. 3, lines 17-18.	1-3, 9-10
Y	US 4,078,676 A (MORTENSON) 14 March 1978, col. 3, line 8 to col. 6, line 2.	15
A	US 5,513,943 A (LUGASH et al) 07 May 1996.	
A	US 4,813,842 A (MORTON) 21 March 1989.	

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	
"E"	earlier document published on or after the international filing date	"X"
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"
"O"	document referring to an oral disclosure, use, exhibition or other means	
"P"	document published prior to the international filing date but later than the priority date claimed	"S"
Date of the actual completion of the international search	Date of mailing of the international search report	

- 29 APRIL 2002

10 MAY 2002

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 505-8230

Authorized officer

JAMES W. KEENAN

Telephone No. (703) 505-1115

*Diane Smith*